

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for use of the Clerk of Court for the purpose of initiating the civil docket sheet.

PLAINTIFFS

TWIN CITY IRON WORKERS PENSION FUND and TWIN CITY IRON WORKERS HEALTH & WELFARE FUND, on behalf of itself and all others similarly situated

DEFENDANTS

See Attachment A

ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER)
Brian Murray, GLANCY PRONGAY & MURRAY LLP
122 E. 42nd Street, Suite 2920, New York, NY 10168
Telephone: (212) 682-5340

ATTORNEYS (IF KNOWN)

CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE)
(DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY)

antitrust and commodities claims under § 1 of the Sherman Act; §§ 4 and 16 of the Clayton Act; and § 22 of the Commodity Exchange Act

Has this action, case, or proceeding, or one essentially the same been previously filed in SDNY at any time? No Yes Judge Paul G. Gardephe

If yes, was this case Vol. Invol. Dismissed. No Yes If yes, give date _____ & Case No. 1:15-cv-05794-PGG

IS THIS AN INTERNATIONAL ARBITRATION CASE?

No Yes

(PLACE AN [x] IN ONE BOX ONLY)

NATURE OF SUIT

		TORTS		ACTIONS UNDER STATUTES			
CONTRACT	PERSONAL INJURY	PERSONAL INJURY	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES		
<input type="checkbox"/> 110 INSURANCE	<input type="checkbox"/> 310 AIRPLANE	<input type="checkbox"/> 367 HEALTHCARE/	<input type="checkbox"/> 625 DRUG RELATED	<input type="checkbox"/> 422 APPEAL	<input type="checkbox"/> 375 FALSE CLAIMS		
<input type="checkbox"/> 120 MARINE	<input type="checkbox"/> 315 AIRPLANE PRODUCT	PHARMACEUTICAL PERSONAL	SEIZURE OF PROPERTY	<input type="checkbox"/> 28 USC 158	<input type="checkbox"/> 400 STATE		
<input type="checkbox"/> 130 MILLER ACT	LIABILITY	<input type="checkbox"/> 365 PERSONAL INJURY	21 USC 881	<input type="checkbox"/> 423 WITHDRAWAL	REAPPORTIONMENT		
<input type="checkbox"/> 140 NEGOTIABLE INSTRUMENT	<input type="checkbox"/> 320 ASSAULT, LIBEL & SLANDER	PRODUCT LIABILITY	<input type="checkbox"/> 690 OTHER	<input type="checkbox"/> 28 USC 157	<input checked="" type="checkbox"/> 410 ANTITRUST		
<input type="checkbox"/> 150 RECOVERY OF OVERPAYMENT & ENFORCEMENT OF JUDGMENT	<input type="checkbox"/> 330 FEDERAL EMPLOYERS' LIABILITY	ASBESTOS PERSONAL INJURY PRODUCT LIABILITY			<input type="checkbox"/> 430 BANKS & BANKING		
<input type="checkbox"/> 151 MEDICARE ACT	<input type="checkbox"/> 340 MARINE				<input type="checkbox"/> 450 COMMERCE		
<input type="checkbox"/> 152 RECOVERY OF DEFULTED STUDENT LOANS (EXCL. VETERANS)	<input type="checkbox"/> 345 MARINE PRODUCT LIABILITY	PERSONAL PROPERTY			<input type="checkbox"/> 460 DEPORTATION		
	<input type="checkbox"/> 350 MOTOR VEHICLE	<input type="checkbox"/> 370 OTHER FRAUD			<input type="checkbox"/> 470 RACKETEER INFLUENCED & CORRUPT ORGANIZATION ACT (RICO)		
	<input type="checkbox"/> 355 MOTOR VEHICLE	<input type="checkbox"/> 371 TRUTH IN LENDING			<input type="checkbox"/> 480 CONSUMER CREDIT		
	PRODUCT LIABILITY				<input type="checkbox"/> 490 CABLE/SATELLITE TV		
<input type="checkbox"/> 153 RECOVERY OF OVERPAYMENT OF VETERAN'S BENEFITS	<input type="checkbox"/> 360 OTHER PERSONAL INJURY	<input type="checkbox"/> 380 OTHER PERSONAL PROPERTY DAMAGE	LABOR	<input type="checkbox"/> 820 COPYRIGHTS	<input type="checkbox"/> 850 SECURITIES/ COMMODITIES/ EXCHANGE		
	<input type="checkbox"/> 362 PERSONAL INJURY - MED MALPRACTICE	<input type="checkbox"/> 385 PROPERTY DAMAGE	<input type="checkbox"/> 710 FAIR LABOR STANDARDS ACT	<input type="checkbox"/> 830 PATENT			
			<input type="checkbox"/> 720 LABOR/MGMT RELATIONS	<input type="checkbox"/> 840 TRADEMARK			
		PRISONER PETITIONS	<input type="checkbox"/> 740 RAILWAY LABOR ACT	<input type="checkbox"/> 861 HIA (1395ff)	<input type="checkbox"/> 890 OTHER STATUTORY ACTIONS		
		<input type="checkbox"/> 463 ALIEN DETAINEE	<input type="checkbox"/> 751 FAMILY MEDICAL LEAVE ACT (FMLA)	<input type="checkbox"/> 862 BLACK LUNG (923)	<input type="checkbox"/> 891 AGRICULTURAL ACTS		
		<input type="checkbox"/> 510 MOTIONS TO VACATE SENTENCE		<input type="checkbox"/> 863 DIWC/DIWV (405(g))			
		28 USC 2255		<input type="checkbox"/> 864 SSID TITLE XVI			
				<input type="checkbox"/> 865 RSI (405(g))			
	ACTIONS UNDER STATUTES				<input type="checkbox"/> 870 TAXES (U.S. Plaintiff or Defendant)	<input type="checkbox"/> 893 ENVIRONMENTAL MATTERS	
	CIVIL RIGHTS	<input type="checkbox"/> 530 HABEAS CORPUS	<input type="checkbox"/> 790 OTHER LABOR	<input type="checkbox"/> 871 IRS-THIRD PARTY	<input type="checkbox"/> 895 FREEDOM OF INFORMATION ACT		
		<input type="checkbox"/> 535 DEATH PENALTY	LITIGATION	26 USC 7609			
		<input type="checkbox"/> 540 MANDAMUS & OTHER	<input type="checkbox"/> 791 EMPL RET INC SECURITY ACT (ERISA)		<input type="checkbox"/> 896 ARBITRATION		
REAL PROPERTY	<input type="checkbox"/> 440 OTHER CIVIL RIGHTS (Non-Prisoner)				<input type="checkbox"/> 899 ADMINISTRATIVE PROCEDURE ACT/REVIEW OF APPEAL OF AGENCY DECISION		
			IMMIGRATION		<input type="checkbox"/> 950 CONSTITUTIONALITY OF STATE STATUTES		
<input type="checkbox"/> 210 LAND CONDEMNATION	<input type="checkbox"/> 441 VOTING	PRISONER CIVIL RIGHTS	<input type="checkbox"/> 462 NATURALIZATION				
	<input type="checkbox"/> 442 EMPLOYMENT		APPLICATION				
	<input type="checkbox"/> 443 HOUSING/ ACCOMMODATIONS	<input type="checkbox"/> 550 CIVIL RIGHTS	<input type="checkbox"/> 465 OTHER IMMIGRATION ACTIONS				
		<input type="checkbox"/> 445 AMERICANS WITH DISABILITIES - EMPLOYMENT	<input type="checkbox"/> 555 PRISON CONDITION				
		<input type="checkbox"/> 446 AMERICANS WITH DISABILITIES - OTHER	<input type="checkbox"/> 560 CIVIL DETAINEE CONDITIONS OF CONFINEMENT				
		<input type="checkbox"/> 448 EDUCATION					

Check if demanded in complaint:



CHECK IF THIS IS A CLASS ACTION
UNDER F.R.C.P. 23

DO YOU CLAIM THIS CASE IS RELATED TO A CIVIL CASE NOW PENDING IN S.D.N.Y.?
IF SO, STATE: _____

DEMAND \$ _____ OTHER _____ JUDGE Paul G. Gardephe DOCKET NUMBER 1:15-cv-05794

Check YES only if demanded in complaint

JURY DEMAND: YES NO

NOTE: You must also submit at the time of filing the Statement of Relatedness form (Form IH-32).

(PLACE AN X IN ONE BOX ONLY)

<input checked="" type="checkbox"/> 1 Original Proceeding	<input type="checkbox"/> 2 Removed from State Court	<input type="checkbox"/> 3 Remanded from Appellate Court	<input type="checkbox"/> 4 Reinstated or Reopened	<input type="checkbox"/> 5 Transferred from (Specify District)	<input type="checkbox"/> 6 Multidistrict Litigation	<input type="checkbox"/> 7 Appeal to District Judge from Magistrate Judge Judgment
		<input type="checkbox"/> a. all parties represented				
		<input type="checkbox"/> b. At least one party is pro se.				

(PLACE AN X IN ONE BOX ONLY)

<input type="checkbox"/> 1 U.S. PLAINTIFF	<input type="checkbox"/> 2 U.S. DEFENDANT	<input checked="" type="checkbox"/> 3 FEDERAL QUESTION	<input type="checkbox"/> 4 DIVERSITY (U.S. NOT A PARTY)
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IF DIVERSITY, INDICATE CITIZENSHIP BELOW.

CITIZENSHIP OF PRINCIPAL PARTIES (FOR DIVERSITY CASES ONLY)

(Place an [X] in one box for Plaintiff and one box for Defendant)

CITIZEN OF THIS STATE	PTF [] 1	DEF [] 1	CITIZEN OR SUBJECT OF A FOREIGN COUNTRY	PTF [] 3	DEF [] 3	INCORPORATED and PRINCIPAL PLACE OF BUSINESS IN ANOTHER STATE	PTF [] 5	DEF [] 5
CITIZEN OF ANOTHER STATE	PTF [] 2	DEF [] 2	INCORPORATED or PRINCIPAL PLACE OF BUSINESS IN THIS STATE	PTF [] 4	DEF [] 4	FOREIGN NATION	PTF [] 6	DEF [] 6

PLAINTIFF(S) ADDRESS(ES) AND COUNTY(IES)

TWIN CITY IRON WORKERS PENSION FUND and TWIN CITY IRON WORKERS HEALTH & WELFARE FUND
 3001 Metro Drive, Suite 500
 Bloomington, Minnesota 55425
 (Hennepin County)

DEFENDANT(S) ADDRESS(ES) AND COUNTY(IES)
 See Attachment B

DEFENDANT(S) ADDRESS UNKNOWN

REPRESENTATION IS HEREBY MADE THAT, AT THIS TIME, I HAVE BEEN UNABLE, WITH REASONABLE DILIGENCE, TO ASCERTAIN RESIDENCE ADDRESSES OF THE FOLLOWING DEFENDANTS:

Check one: THIS ACTION SHOULD BE ASSIGNED TO: WHITE PLAINS MANHATTAN
 (DO NOT check either box if this is a PRISONER PETITION/PRISONER CIVIL RIGHTS COMPLAINT.)

DATE 12/16/2015 SIGNATURE OF ATTORNEY OF RECORD

RECEIPT #

ADMITTED TO PRACTICE IN THIS DISTRICT

[] NO

[X] YES (DATE ADMITTED Mo. 11 Yr. 1991)
 Attorney Bar Code # BM 9954

Magistrate Judge is to be designated by the Clerk of the Court.

Magistrate Judge _____ is so Designated.

Ruby J. Krajick, Clerk of Court by _____ Deputy Clerk, DATED _____.

UNITED STATES DISTRICT COURT (NEW YORK SOUTHERN)

Attachment A

Defendants

- 1) BANK OF NOVA SCOTIA, NEW YORK AGENCY
- 2) BMO CAPITAL MARKETS CORP.
- 3) BNP PARIBAS SECURITIES CORP.
- 4) BARCLAYS CAPITAL INC.
- 5) CANTOR FITZGERALD & CO.
- 6) CIBC WORLD MARKETS CORP.
- 7) CITIGROUP GLOBAL MARKETS INC.
- 8) COMMERZ MARKETS LLC
- 9) COUNTRYWIDE SECURITIES CORPORATION
- 10) CREDIT SUISSE SECURITIES (USA) LLC
- 11) DAIWA CAPITAL MARKETS AMERICA INC.
- 12) DEUTSCHE BANK SECURITIES INC.
- 13) GOLDMAN, SACHS & CO.
- 14) HSBC SECURITIES (USA) INC.
- 15) JEFFERIES LLC
- 16) J.P. MORGAN SECURITIES LLC
- 17) MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED
- 18) MIZUHO SECURITIES USA INC.
- 19) MORGAN STANLEY & CO. LLC
- 20) NOMURA SECURITIES INTERNATIONAL, INC.
- 21) RBC CAPITAL MARKETS, LLC
- 22) RBS SECURITIES INC.
- 23) SG AMERICAS SECURITIES, LLC
- 24) TD SECURITIES (USA) LLC
- 25) UBS SECURITIES LLC

Attachment B

Defendants' Addresses and Counties

- 1) BANK OF NOVA SCOTIA, NEW YORK AGENCY
250 Vesey Street
New York, NY 10080
(New York County)
- 2) BMO CAPITAL MARKETS CORP.
3 Times Square, 28th Floor
New York, NY 10036
(New York County)
- 3) BNP PARIBAS SECURITIES CORP.
787 Seventh Avenue
New York, NY 10019
(New York County)
- 4) BARCLAYS CAPITAL INC.
745 Seventh Avenue
New York, NY 10019
(New York County)
- 5) CANTOR FITZGERALD & CO.
499 Park Avenue
New York, NY 10022
(New York County)
- 6) CIBC WORLD MARKETS CORP.
425 Lexington Avenue, 3rd Floor
New York, NY 10017
(New York County)
- 7) CITIGROUP GLOBAL MARKETS INC.
390-388 Greenwich Street
New York, NY 10013
(New York County)
- 8) COMMERZ MARKETS LLC
2 World Financial Center - Sandy Hook # 31
New York, NY 10281
(New York County)

Attachment B (continued)

- 9) COUNTRYWIDE SECURITIES CORPORATION
4500 Park Granada
Calabasas, California 91302
(Los Angeles County)
- 10) CREDIT SUISSE SECURITIES (USA) LLC
11 Madison Avenue, 24th Floor
New York, NY 10010
(New York County)
- 11) DAIWA CAPITAL MARKETS AMERICA INC.
Financial Square, 32 Old Slip
New York, NY 10005
(New York County)
- 12) DEUTSCHE BANK SECURITIES INC.
60 Wall Street, 4th Floor
New York, NY 10005
(New York County)
- 13) GOLDMAN, SACHS & CO.
200 West Street, 29th Floor
New York, NY 10282
(New York County)
- 14) HSBC SECURITIES (USA) INC.
452 Fifth Avenue
New York, NY 10018
(New York County)
- 15) JEFFERIES LLC
520 Madison Avenue, 10th Floor
New York, NY 10022
(New York County)
- 16) J.P. MORGAN SECURITIES LLC
277 Park Avenue
New York, NY 10172
(New York County)
- 17) MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED
One Bryant Park
New York, NY 10036
(New York County)

Attachment B (continued)

- 18) MIZUHO SECURITIES USA INC.
320 Park Avenue, 12th Floor
New York, NY 10022
(New York County)
- 19) MORGAN STANLEY & CO. LLC
1585 Broadway
New York, NY 10036
(New York County)
- 20) NOMURA SECURITIES INTERNATIONAL, INC.
309 West 49th Street
Worldwide Plaza
New York, NY 10019
(New York County)
- 21) RBC CAPITAL MARKETS, LLC
3 World Financial Center
200 Vesey Street, 8th Floor
New York, NY 10281
(New York County)
- 22) RBS SECURITIES INC.
600 Washington Boulevard
Stamford, Connecticut 06901
(Fairfield County)
- 23) SG AMERICAS SECURITIES, LLC
1221 Avenue of the Americas, 6th Floor
New York, NY 10020
(New York County)
- 24) TD SECURITIES (USA) LLC
31 West 52nd Street
New York, NY 10019
(New York County)
- 25) UBS SECURITIES LLC
677 Washington Boulevard
Stamford, Connecticut 06901
(Fairfield County)